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<p>Taxation and Customs Union DG</p> <p>CUST-DEV2 Project</p> <p>SUBJECT:</p> <p>DDNXA FOR ECS Phase 2</p> <p>Appendix A2: Mandatory and Strongly Recommended Messages (CUSTDEV2-SC04-RfA71-DLV-6.8-z-2-DDNTA_App_A2)</p>		
<p>FRAMEWORK CONTRACT TAXUD/2010/CC/100 SPECIFIC CONTRACT 04</p>		

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APPENDIX A2: MANDATORY AND STRONGLY RECOMMENDED MESSAGES - ALIGNED TO DDNA KEL 0.23	

INTRODUCTION

This appendix gives a summary of all exchanges which are mandatory or strongly recommended for the NECA.

Please note that this Appendix is derived from Appendix A1.

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MANDATORY MESSAGES ECS Phase 2

IE	Name	Reference	National Export Control Application								
			Send	Receive	Exchange Mechanism				EDI Format		
					Paper	MS	CCN/CSI	Web	EDIFACT	XML	CCN/CSI
IE30	Notification of customs offices modification to common domain	C_COL_COM	M				M	M	M	M	
IE31	Notification of customs offices modification to national domain	C_COL_NAT		M			M	M	M	M	
IE32	Notification of common reference data modification to national domain	C_REF_MOD		M			M	M	M	M	
IE70	Notification of System Unavailability To CD	C_UNA_COM	M					M		M	
IE71	NOTIFICATION OF SYSTEM UNAVAILABILITY TO ND	C_UNA_NAT		M				M		M	
IE411	Sending of Statistics Data	C_STA_SND	M				M	M	M	M	
*IE412	Statistics Generated sent to National Domain	C_STA_GEN		M				M		M	
IE501	AER	C_AER_SND	M	M			M		M		
IE502	Declaration Request	C_EXP_REQ	M	M			M		M		
IE503	AER Response	C_AER_RSP	M	M			M		M		
IE510	Export Cancellation Notification	C_CAN_EXP	M	M			M		M		
IE518	Exit Results	C_EXT_RES	M	M			M		M		
IE524	Forwarded Arrival Advice	C_ARR_FWD	M	M			M		M		
IE527	Export Query	C_EXP_QUE	M	M			M		M		

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			Send	Receive	Exchange Mechanism				EDI Format		
					Paper	MS	CCN/CSI	Web	EDIFACT	XML	CCN/CSI
IE538	Response to Export Query	C_EXP_RSP	M	M			M		M		
IE584	Export Follow-Up Request	C_EXP_FUR	M	M			M		M		
IE586	Export Follow-Up Negative Response	C_EXP_FUN	M	M			M		M		
IE601	EXS	C_EXS_SND	M	M			M		M		
IE603	Exit Summary Declaration Response	C_EXS_RSP	M	M			M		M		
IE901	Cancellation Acknowledgement	C_CAN_ACK	M	M			M		M		
IE904	Status Request	C_STD_REQ	M	M			M		M		
IE905	Status Response	C_STD_RSP	M	M			M		M		
IE906	Functional Nack	C_FUN_NCK	M	M			M		M		
IE907	EDIFACT Nack (CONTRL)	C_EDI_NCK	M	M			M		M		
IE908	CCN/CSI Confirm On Delivery Acknowledgement	C_COD_ACK	M	M			M				M
IE909	CCN/CSI Confirm On Arrival Acknowledgement	C_COA_ACK	M	M			M				M
IE910	CCN/CSI Expiration notification	C_EXP_NOT	M	M			M				M
IE911	CCN/CSI Exception notification	C_EXC_NOT	M	M			M				M
IE912	Availability Matrix	C_AVL_MTX	M					M		M	
IE913	Upload parsing response	C_UPL_RSP		M			M	M	M	M	
IE914	COL Request	C_COL_REQ	M				M		M		
IE916	Common RD request	C_REF_REQ	M				M		M		
IE931	COL data	C_COL_DAT		M			M	M	M	M	

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IE	Name	Reference	National Export Control Application								
			Send	Receive	Exchange Mechanism				EDI Format		
					Paper	MS	CCN/CSI	Web	EDIFACT	XML	CCN/CSI
IE932	Common RD data	C_REF_DAT		M			M	M	M		
IE971	Full unavailability schedule	C_UNA_DAT		M				M		M	

Please note that, for all these exchanges, the EDI format and the transport mechanism are predefined and unique, except for the following:

- the E_REL_TRA and the E_DEC_DAT (it is only strongly recommended to use the EDIFACT format for these);
- the exchange of IE30, IE31, IE32 and IE913 can take place across CCN/CSI and the Inter(extra)net. For CCN/CSI, the EDIFACT format is to be used; for exchange across the Inter(Intra)net, both EDIFACT and XML formats are supported by the central reference tools;
- IE913 will always be in the same format (EDIFACT or XML) as the message they relate to.
- * The produced IE412 messages are available for download via the CS/MIS Web interface in any of the following formats: XML, XLS, HTML or TXT.

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STRONGLY RECOMMENDED MESSAGES for ECS Phase 2

IE	Name	Reference	National Export Control Application								
			Send	Receive	Exchange Mechanism				EDI Format		
					Paper	MS	CCN/CSI	Web	EDIFACT	XML	CCN/CSI
IE504	Export Declaration Amendment Acceptance	E_EXP_AAC	SR			Y				SR	
IE505	Export Declaration Amendment Rejection	E_EXP_ARJ	SR			Y				SR	
IE507	Arrival at exit	E_ARR_EXT		SR		Y				SR	
IE508	Arrival at Exit Rejection	E_REJ_EXT	SR			Y				SR	
IE509	Export Cancellation Decision	E_CAN_EXP	SR			Y				SR	
IE513	Export Declaration Amendment	E_EXP_AMD		SR		Y				SR	
IE514	Export Cancellation Request	E_EXP_CAN		SR		Y				SR	
IE515	Export Declaration	E_EXP_DAT		SR		Y				SR	
IE516	Export Declaration Rejected	E_EXP_REJ	SR			Y				SR	
IE521	Diversion Rejection Notification	E_DIV_REJ	SR			Y				SR	
IE522	Exit Release Rejection	E_EXT_REJ	SR			Y				SR	
IE525	Exit Release Notification	E_EXT_REL	SR			Y				SR	
IE528	Export MRN Allocated	E_MRN_EXP	SR			Y				SR	
IE529	Release for Export	E_REL_EXP	SR			Y				SR	
IE547	Export Manifest Presentation	E_EXP_MAN	SR			Y				SR	
IE548	Export Manifest Validation	E_EXP_MVA	SR			Y				SR	

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			Send	Receive	Exchange Mechanism				EDI Format		
					Paper	MS	CCN/CSI	Web	EDIFACT	XML	CCN/CSI
IE551	Export No Release	E_EXP_NRL	SR			Y				SR	
IE554	Export Release Request	E_EXP_RRQ		SR		Y				SR	
IE560	Export Control Decision Notification	E_EXP_CTR	SR			Y				SR	
IE561	Exit Control Decision Notification	E_EXT_CTR	SR			Y				SR	
IE562	Export Release Request Rejection	E_EXP_RRR	SR			Y				SR	
IE590	Exit Notification	E_EXT_NOT	SR			Y				SR	
IE604	Exit Summary Declaration Amendment Acceptance	E_EXS_AAC	SR			Y				SR	
IE605	Exit Summary Declaration Amendment Rejection	E_EXS_ARJ	SR			Y				SR	
IE613	Exit Summary Declaration Amendment	E_EXS_AMD		SR		Y				SR	
IE615	Exit Summary Declaration Export	E_EXS_DAT		SR		Y				SR	
IE616	Exit Summary Declaration Rejected	E_EXS_REJ	SR			Y				SR	
IE628	Exit Summary Declaration Acknowledgement	E_EXS_ACK	SR			Y				SR	

For all the messages marked with “Y” in column MS, the transport mechanism needs to be defined by the MS. The usage of XML is (strongly) recommended for all these messages.

Please note that for IE931 and IE932 messages, both XML and EDIFACT format are supported for Inter(extra)net exchanges whereas only EDIFACT is supported for CCN/CSI exchanges.

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OPTIONAL MESSAGES for ECS Phase 2

IE	Name	Reference	National Export Control Application								
			Send	Receive	Exchange Mechanism				EDI Format		
					Paper	MS	CCN/CSI	Web	EDIFACT	XML	CCN/CSI
IE582	Request on Non-exited Export	E_REQ_EXT	O			Y				O	
IE583	Information about Non-exited Export	E_EXT_RSP		O		Y				O	
IE599	Export Notification	C_EXP_NTF	O			Y				O	